

# Theory of shear stabilization

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- Simple rule for the L-H transition

$$\underbrace{\Omega}_{\text{shearing rate}} > \underbrace{\Delta W(\gamma_L)}_{\text{turb. decor. rate}}$$

→  $\Omega$  : stabilize linear instability

Reduce transport & turb. level

⇒ How much reduction by  $\Omega$ ?

- Boedo et al '02

$$\Gamma \propto \Omega^{-\alpha} \quad (2 \leq \alpha \leq 3.6)$$

- Terry et al '01

$$(\partial_t + \vec{u} \cdot \vec{\nabla}) n = D \nabla^2 n$$

with  $\vec{u} = \vec{V}_{\text{tur}} + \vec{u}_0$

$$\Rightarrow \langle n' \vec{u} \rangle \propto (u_0')^4, \quad \cos \delta \propto |u_0'|^{-3}$$

$$\begin{aligned} \langle n' \vec{u} \rangle &= \sqrt{\langle n'^2 \rangle} \sqrt{\langle u'^2 \rangle} \cos \delta \\ &= -P_{\text{tur}} \vec{\nabla} \langle n \rangle \end{aligned}$$

- Can passive scalar field model capture turbulence in real tokamaks?
- Is there a universal scaling of flux with  $\Omega$ ?
- Is a cross-phase ( $\cos\delta$ ) a dominant suppression mechanism?
- Reduction of flux by mean  $\vec{E} \times \vec{B}$  ( $\Omega$ ) and zonal flows ( $\Omega_{rms} = \sqrt{\langle V_{\theta}^2 \rangle}$ )
- Effect of shear flow on intermittent transport carried by a coherent structure?

## Outline

- Mean vs random shearing
- Turbulent transport
  - Passive scalar model
  - Interchange turbulence
- Intermittent transport

## II. Coherent vs Random Shearing

$$k_x(t) = k_x(0) + k_y \int^t \Omega(t') dt' \quad [\mathbf{U} = -x\Omega(t)\hat{y}]$$

1. Coherent shearing with constant  $\Omega$  ( $k_x^2 \propto t^2$ )

$$\Rightarrow D \int^t dt' k_x^2(t') \propto D k_y^2 \Omega^2 t^3$$

$$\Rightarrow \tau_\Delta = (\tau_\eta / \Omega^2)^{1/3} \quad [\tau_\eta = 1 / D k_y^2]$$

2. Random shearing with  $\tau_{ZF}$  ( $k_x^2 \propto t$ )

$$\Rightarrow D \int^t dt' k_x^2(t') \propto D k_y^2 \tau_{ZF} \Omega_{rms}^2 t^2$$

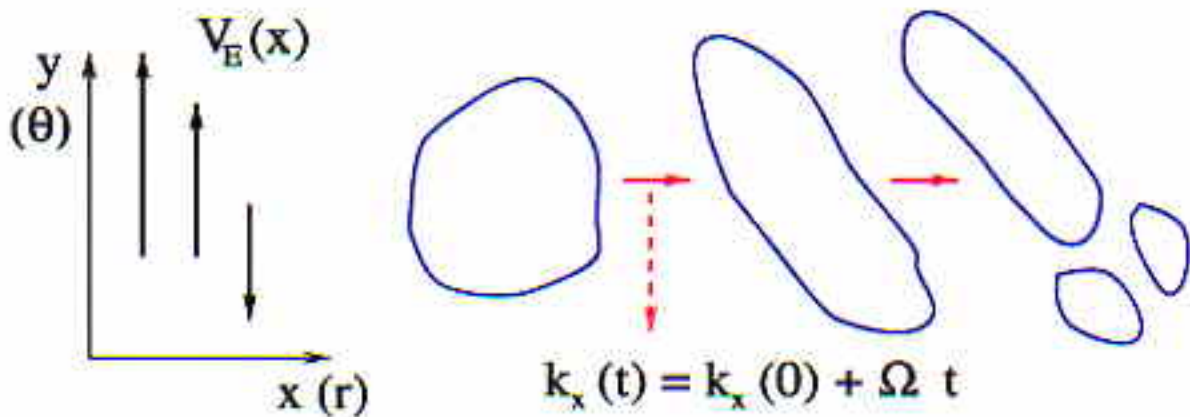
$$\Rightarrow \tau_D = (\tau_\eta / \tau_{ZF} \Omega_{rms}^2)^{1/2} = (\tau_\eta / \Omega_{eff})^{1/2}$$

- For  $\Omega = \Omega_{rms}$ ,  $\tau_\Delta \leq \tau_D$
- If  $\tau_{ZF} < \Omega_{rms}^{-1}$ ,  $\Omega_{eff} = \tau_{ZF} \Omega_{rms}^2 < \Omega_{rms}$
- For  $\tau_{ZF} \gg \tau_D$ ,  $\Omega(t) \sim \text{const} \rightarrow \tau_D = \tau_\Delta$

## Shear decorrelation

Mean  $\mathbf{E} \times \mathbf{B}$  flow  $\langle V_E \rangle$

Zonal flow  $\tilde{V}_E$



- Mean flow (coherent shearing):

$$\langle V_E \rangle = \langle V_\theta \rangle - \frac{B_\theta}{B} \langle V_\phi \rangle - \frac{1}{eB_z n} \frac{\partial p_i}{\partial r} + \tau$$

- Zonal flows (random shearing):

$$\partial_t \phi_{ZF} = \langle \tilde{v}_x \tilde{v}_y \rangle - \nu \phi_{ZF}$$

### III. Turbulent transport

[Kim & Diamond '03;'04]

#### 1. Passive scalar field $n$

$$(\partial_t + \mathbf{u} \cdot \nabla)n = D\nabla^2 n$$

#### • Quasi-linear analysis with

$$\Rightarrow \tau_{\Delta}^{-1} \gg \tau_{\eta}^{-1}, \quad \text{as } \Omega \rightarrow \infty \quad (\text{BDT '90})$$
$$\mathbf{u} = \mathbf{U} + \mathbf{v}, \quad n = n_0(x) + n'$$

•  $\mathbf{v}$ : Given (prescribed) turbulent flow

•  $U(x, t) = -x\Omega(t)$  [mean or zonal flows]

#### • Solve for fluctuation

$$\Rightarrow \tau_0^{-1} \gg \tau_{\eta}^{-1} \quad \text{as } \Omega_{\text{rms}} \rightarrow \infty$$

$$(\partial_t - x\Omega\partial_y)n' = -v_x\partial_x n_0 + D\nabla^2 n'$$

• Compute  $\langle n'^2 \rangle, \langle n'v_x \rangle = -D_T^{xx}\partial_x n_0$  ( $D_T$  is the turbulent diffusivity)

NOTE:  $\Omega = 0 \Rightarrow D_T^{xx} \sim \nu l$

Let

$$n'(\mathbf{x}, t) = \frac{1}{(2\pi)^3} \int d^3k \tilde{n}(\mathbf{k}, t) e^{i(k_x(t)x + k_y y + k_z z)}$$

where

$$k_x(t) = k_x(0) + k_y \int^t dt_1 \Omega(t_1)$$

and similarly for  $v$

- Consider  $(\tau_D, \tau_\Delta) \gg (\tau_c, \tau_\Omega)$
- Flux  $\Gamma = \langle n' v_x \rangle = \sum_{\mathbf{k}} |n'(\mathbf{k})| |v_x(-\mathbf{k})| \cos \delta_{\mathbf{k}}$

Time scales

- $\tau_{ZF}$ : correlation time of zonal flows
- $\tau_c$ : correlation time of turbulent flow  $v$
- $\tau_\Omega = \Omega^{-1}, \Omega_{rms}^{-1}$ : shearing time scale
- $\tau_\Delta, \tau_D$ : decorrelation time due to coherent and random shearing



- For mean flow or zonal flow with  $\tau_D \ll \tau_{ZF}$  ( $\Omega_{rms} \sim \Omega$ )

	$\tau_c < \tau_\Omega$	$\tau_\Omega < \tau_c$
$\langle n'v_x \rangle$	$\Omega^0$	$\Omega^{-1}$
$\langle n'^2 \rangle$	$\tau_\Delta \propto \Omega^{-1}$	$\tau_\Delta \Omega^{-1} \propto \Omega^{-5/3} D^{-1/3}$

- For zonal flow with  $\tau_c < \tau_{ZF} \ll \tau_D$  and Gaussian PDFs:

	$\tau_c < \tau_\Omega$	$\tau_\Omega < \tau_c$
$\langle \langle n'v_x \rangle \rangle$	$\Omega_{rms}^0$	$\Omega_{rms}^{-1}$
$\langle \langle n'^2 \rangle \rangle$	$\tau_D \propto \Omega_{rms}^{-1}$	$\tau_D \Omega_{rms}^{-1} \propto \Omega_{rms}^{-2} D^{-1/2}$

**Note:**  $\langle \langle n'^2 \rangle \rangle / \langle n'^2 \rangle = \tau_D / \tau_\Delta > 1$

## Conclusions from passive scalar fields

- Flux ( $\Gamma \propto \Omega^{-1}$  or  $\Omega_{rms}^{-1}$ ) is weakly reduced
  - Cross phase  $\cos \delta$  ( $\propto \Omega^{-1/6}$ ) is very weakly reduced [cf Terry et al '01:  $\Gamma \propto \Omega^{-4}$ ,  $\cos \delta \propto \Omega^{-3}$ ]
  - Effect of random shearing of zonal flows on transport and fluctuation levels depends on correlation time  $\tau_{ZF}$
  - $\langle \langle n'^2 \rangle \rangle_{ZF} \propto \tau_D \Omega_{rms}^{-1} \propto \Omega_{rms}^{-2}$  ( $> \langle n'^2 \rangle \propto \tau_\Delta \Omega^{-1} \propto \Omega^{-5/3}$ ) is due to LONGER decorrelation time ( $\tau_D > \tau_\Delta$ ) induced by finite  $\tau_{ZF}$
  - Exact scaling with  $\Omega$  or  $\Omega_{rms}$  depends on the property of given turbulent flow
  - Limitation of scalar field model: turbulent flow is arbitrary GIVEN (i.e., No shearing effect on turbulent flow)
- $\Rightarrow$  Scalings of  $\Gamma$  and  $Q$  in a self-consistent model (Effect of  $\Omega$  on  $|v_x|$ )?

### 3. Particle transport in interchange turbulence

[Kim, Diamond, & Hahm '04; Kim '05]

$$(\partial_t + U\partial_x)n = -v_x\partial_x N_0 + D\nabla^2 n + S$$

$$(\partial_t + U\partial_x)\omega = -g\frac{\partial_y n}{N_0} + \nu\nabla^2\omega$$

where  $g$  is effective gravity;  $S$  is noise

- $U = -x\Omega(t)$
- $\omega\hat{z} = \nabla \times \mathbf{v}$
- $D = \nu$
- Total noise  $f = S - v_x\partial_x N_0 + \dots$  (corr. time  $\tau_f$ )
- Consider  $(\tau_D, \tau_\Delta) \gg (\tau_f, \tau_\Omega)$

#### Time scales

- $\tau_f$ : correlation time of total noise  $f$
- $\tau_\Omega = \Omega^{-1}, \Omega_{rms}^{-1}$ : shearing time scale
- $\tau_\Delta, \tau_D$ : decorrelation time due to coherent and random shearing

	$\tau_D \ll \tau_{ZF}$		$\tau_D \gg \tau_{ZF}$
	$\tau_f < \tau_\Omega$	$\tau_f > \tau_\Omega$	$\tau_f < \tau_\Omega$
$\langle\langle n v_x \rangle\rangle$	$\Omega^{-2} \ln(\tau_\Delta \Omega)$	$\Omega^{-3} \ln \Omega$	$\tau_D \Omega_{eff}^{-1} \propto \Omega_{rms}^{-3}$
$\langle\langle n^2 \rangle\rangle$	$\tau_\Delta \propto \Omega^{-2/3}$	$\Omega^{-5/3}$	$\tau_D \propto \Omega_{rms}^{-1}$
$\langle\langle v_x^2 \rangle\rangle$	$\Omega^{-3}$	$\Omega^{-4}$	$\tau_D \Omega_{eff}^{-2} \propto \Omega_{rms}^{-5}$
$\langle\langle v_x v_y \rangle\rangle$	$-\Omega^{-3} \ln \Omega$	$-\Omega^{-4} \ln \Omega$	

- Strong reduction in the flux due to severe reduction in  $\langle\langle v_x^2 \rangle\rangle$
- Reduction in cross-phase is very weak ( $\propto \Omega^{-1/6} \ln \Omega$ )  
[Falchetto and Ottaviani, '04]
- Reynolds stress is reduced by shearing
- Significant reduction by random shearing by zonal flows

## IV. Intermittent Transport

[Kim '05]

### Passive scalar field $n$

1. Coherent structure  $U_s(y)\hat{x} = |U_s| \cos(p_y y + \omega_s t)\hat{x}$

$$[\partial_t + U_s(y)\partial_y]n = D\nabla^2 n$$

$$\Rightarrow n = n_0(x) + n_s(y)$$

$$\Rightarrow \langle n_s U_s \rangle \text{ gives } D_{eff} = DU_s^2 p_y^2 / [\omega_s^2 + (Dp_y)^2]$$

[Zeldovich '82]

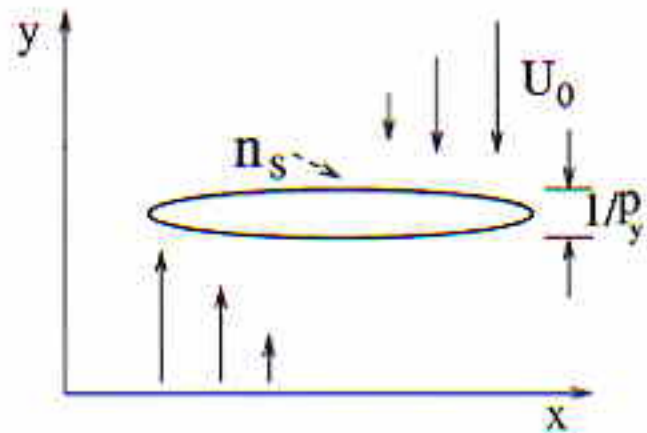
2. Coherent structure  $(n_s, U_s)$  + turbulence + mean shear flow  $U_0(x)\hat{y} = -x\Omega\hat{y}$

• Turbulence:  $D \rightarrow D_T$

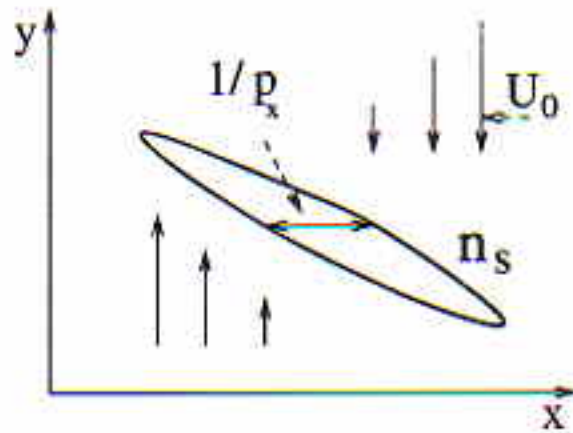
• Shearing by  $\Omega$ :

$$D_T \propto \Omega^{-1}, \langle n_s U_s \rangle \propto D_T \Omega^{-2}$$

$$\Rightarrow D_{eff} \propto \Omega^{-3}$$



$t=0$   
(a)



$t \neq 0$   
(b)

## VI. Conclusions

- Zonal flows trigger L-H transition while mean flows maintain H-mode after the transition
- Model dependent reduction in the flux and turbulence amplitude → Stronger reduction in interchange turbulence due to the suppression of velocity amplitude
- In all cases, cross phase  $\cos \delta$  is very weakly reduced
- Effect of random shearing of zonal flows on transport and fluctuation levels depends on correlation time  $\tau_{ZF}$
- Random shearing can lead to significant reduction in interchange turbulence (larger transport as compared to coherent shearing)
- Significant reduction in intermittent transport

- Determination of  $\tau_{ZF}$  and study on transport vs  $\tau_{ZF}$
- Effects of flow shear on blobs
- Effects of magnetic shear, toroidal geometry
- Generation and effects of zonal fields
- PDFs for intermittent transport (Kim et al '02;'03)  $\Rightarrow$  interaction among coherent structures, PDFs of L-H transition
- Incorporation of spatial information: pedestal, front propagation